

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

13627

In Re Application Of: Susan A. Charman, et al.

Serial No.

09/555,108

Filing Date

May 24, 2000

Examiner

Unassigned

Group/Art Unit

Unassigned

Title: **COMPOSITONS OF LEUKEMIA INHIBITORY FACTOR**

Address to:

Assistant Commissioner for Patents

Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

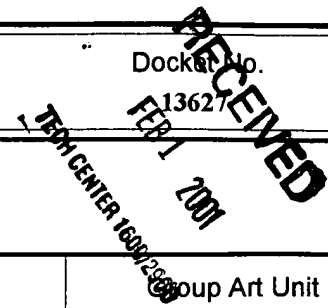
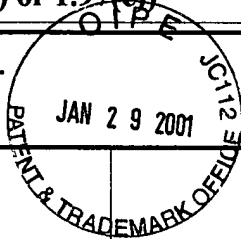
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09/555,108Filing Date  
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Title: COMPOSITONS OF LEUKEMIA INHIBITORY FACTOR

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 1/24/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Janet Giordano

Signature of Person Mailing Correspondence

Janet Giordano

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Signature

Dated: January 24, 2001

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**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Susan Ann Charman, et al.      **Examiner:** Unassigned  
**Serial No.:** 09/555,108      **Art Unit:** Unassigned  
**Filed:** May 24, 2000      **Docket:** 13627  
**Int'l Appln. No.** PCT/AU98/00981      **Dated:** January 24, 2001  
**Int'l Filing Date:** November 26, 1998  
**For:** COMPOSITIONS OF LEUKEMIA  
INHIBITORY FACTOR

Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following disclosures, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

U.S. Patent No. 5,187,077 to Gearing, et al.

U.S. Patent No. 5,418,159 to Gough, et al.

U.S. Patent No. 5,427,925 to Gearing, et al.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on January 24, 2001.

Dated: January 24, 2001

*Janet Giordano*  
Janet Giordano



U.S. Patent No. 5,443,825 to Gearing, et al.

U.S. Patent No. 5,641,676 to Gough, et al.

U.S. Patent No. 5,750,654 to Gearing, et al.

U.S. Patent No. 5,824,838 to Melmed, et al.

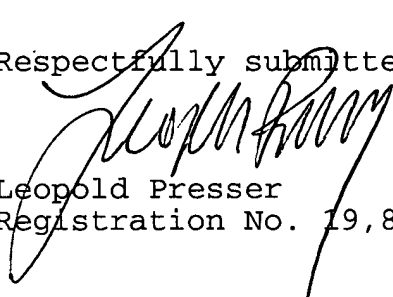
U.S. Patent No. 5,962,321 to Gough, et al.

Applicants are also enclosing a copy of an International Preliminary Examination Report issued relative to the counterpart International Application No. PCT/AU 98/00981.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herewith may be material to the examination of the present application as defined under 35 U.S.C. §1.56(a).

Inasmuch as this Information Disclosure Statement is being filed prior to the issuance of any action on the merits, no fee or certification is due.

Respectfully submitted,

  
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